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STANGER L

PAGE 1

I hereby certify that this paper is being facsimile transmitted to the Patent and Trademark Office, 703-308-5077, on the date shown below.

9/19/02  
Date

Leo Stanger

Docket HOLT-400

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor : Woo-Sung Han et al  
Serial No. : 10/009,944  
I. A. Filing Date : June 13, 3000  
Priority Date : June 11, 1999  
I. A. Number : PCT/IB00/00794  
For : Method And Apparatus For Recording A Hologram From A  
Mask Pattern By The Use Of Total Internal Reflection  
Holography And Hologram Manufactured By The Method

Hon. Commissioner of Patents & Trademarks  
Deposit Accounts  
2011 Crystal Dr  
Crystal Park 1, Suite 307  
Arlington VA 22202

Sir:

Attn: Latrice Sims  
Phone: 703-305-4229  
Fax: 703-308-5077

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PATENT & TRADEMARK  
OFFICE

REQUEST FOR PARTIAL REFUND

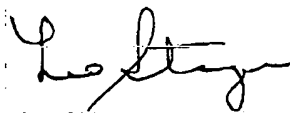
This concerns our August 22, 2002 response to the February 22, 2002 Notice to File Missing Parts. It is respectfully requested that the amounts of \$42.00 (fee code 965) and \$171.00 (fee code 967) be credited to Deposit Account No. 194124 in connection with this

response. It is believed that the amounts of \$42.00 and \$171.00 were erroneously charged.

The August 22, 2002 response to Notice to File Missing Parts included a Preliminary Amendment. This Preliminary Amendment canceled 19 claims including 1 independent claim. Therefore the charge of \$42.00 under fee code 965 and \$171.00 under fee code 967 were no longer due.

A copy of the Notice to File Missing Parts, the Preliminary Amendment, and a summary page of the Deposit Account Statement downloaded from the web are included for your convenience.

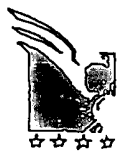
Respectfully submitted,



Leo Stanger  
Registration No. 19,188

Stanger & Dreyfus P.C.  
382 Springfield Avenue  
Summit, NJ 07901  
(908) 277-8588

Deposit Account Statement

<https://rampsdev.uspto.gov/ram26/Controller.jsessionid=djryk3ql>UNITED STATES  
PATENT AND  
TRADEMARK OFFICEDeposit Account Statement

Requested Statement Month:

August 2002

Deposit Account Number:

194124

Name:

LEO STANGER

Attention:

Address:

382 SPRINGFIELD AVENUE

City:

SUMMIT

State:

NJ

Zip:

07901

DATE	SEQ	POSTING REF TXT	ATTORNEY DOCKET NBR	FEE CODE	AMT	BAL
08/01	4	E-REPLENISHMENT		701	-\$1,000.00	\$1,306.00
08/06	7	60070335	LEPS-121	218	-\$280.00	\$1,596.00
08/06	9	60070335		704	-\$540.00	\$2,136.00
08/21	38	09611905	EPC-8C	215	\$55.00	\$2,081.00
08/21	39	09611905	EPC-8C	203	\$9.00	\$2,072.00
08/26	16	E-REPLENISHMENT		701	-\$1,000.00	\$3,072.00
08/29	14	76123865		375	\$100.00	\$2,972.00
08/30	131	10009944	HOLTR-400	254	\$65.00	\$2,907.00
08/30	132	10009944	HOLTR-400	218	\$720.00	\$2,187.00
08/30	133	10009944	HOLTR-400	965	\$42.00	\$2,145.00
08/30	134	10009944	HOLTR-400	967	\$171.00	\$1,974.00

START  
BALANCE  
\$306.00SUM OF  
CHARGES  
\$1,162.00SUM OF  
REPLENISH  
\$2,830.00END  
BALANCE  
\$1,974.00[Need Help?](#) | [Return to USPTO Home Page](#) | [Return to Office of Finance Home Pa](#)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231 on

8/22/02

Date

Leo Stanger

Docket HOLT-400

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor : Woo-Sung Han et al  
Serial No. : 10/009,944  
I. A. Filing Date : June 13, 2000  
Priority Date : June 11, 1999  
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Hon. Commissioner of Patents & Trademarks  
Washington D. C. 20231

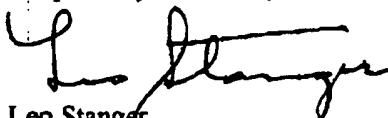
Sir:

COVER LETTER (SMALL ENTITY)

Submitted is a Response to the February 22, 2002 Notice to File Missing Parts, and a Preliminary Amendment.

Please charge all fees due with this document to Deposit Account 194124.

Respectfully submitted,



Leo Stanger

Registration No. 19,188

Stanger & Dreyfus P.C.  
382 Springfield Avenue  
Summit, NJ 07901  
(908) 277-8588

**Docket HOLT-400****IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Inventor : **Woo-Sung Han et al**  
Serial No. : **10/009,944**  
I. A. Filing Date : **June 13, 2000**  
Priority Date : **June 11, 1999**  
I. A. Number : **PCT/IB00/00794**  
For : **Method And Apparatus For Recording A Hologram From A  
Mask Pattern By The Use Of Total Internal Reflection  
Holography And Hologram Manufactured By The Method**

Hon. Commissioner of Patents & Trademarks  
Washington D. C. 20231

Sir:

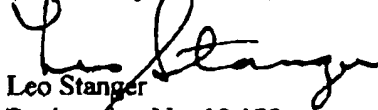
**RESPONSE TO NOTICE TO FILE MISSING PARTS**

In response to the February 22, 2002 Notice to File Missing Parts, Applicant submits herewith: **(1) Three Declarations, (2) A Preliminary Amendment, and (3) Copy of the Notice to File Missing Parts.** An official filing receipt is respectfully requested.

Applicant hereby petitions for a four month extension of time, and any additional time needed, to timely respond to the February 22, 2002 Notice to File Missing Parts.

Please charge the (small entity) \$65.00 surcharge, the \$720 Extension Fee, and any additional amounts due, or credit any excess payments, to Deposit Account No. 194124. The Preliminary Amendment cancels 19 claims including 1 independent claim.

Respectfully submitted,



Leo Stanger  
Registration No. 19,188

Stanger & Dreyfus P.C.  
382 Springfield Avenue  
Summit, NJ 07901  
(908) 277-8588



## UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents, Box 141  
 United States Patent and Trademark Office  
 Washington, D.C. 20231  
 www.uspto.gov

U.S. APPLICATION NUMBER NO. 10/009,944	FIRST NAMED APPLICANT Woo-Sung Han	ATTY. DOCKET NO. HOLTR-400
INTERNATIONAL APPLICATION NO. PCT/IB00/00794		
LA. FILING DATE 06/13/2000	PRIORITY DATE 06/11/1999	

Leo Stanger  
 PO Box 1455  
 382 Springfield Ave  
 Summit, NJ 07801

CONFIRMATION NO. 3002

371 FORMALITIES LETTER



\*OC000000007487517\*

Date Mailed: 02/22/2002

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fees
- Indication of Small Entity Status
- Priority Document
- Copy of IPE Report
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Preliminary Amendments
- Small Entity Statement

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and International filing date.
- \$85 Surcharge for providing the oath or declaration later than the appropriate 30 months from the priority date (37 CFR 1.492(e)) is required.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Additionally the following defects have been observed:

- Additional claim fees of \$213 as a small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

**SUMMARY OF FEES DUE:**

Total additional fees required for this application is \$278 for a Small Entity:

- \$55 Late oath or declaration Surcharge.
- Total additional claim fee(s) for this application is \$213
  - \$42 for 1 independent claims over 3.
  - \$171 for 19 total claims over 20.

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice MUST be returned with the response.*

SHELBY J VIGIL

Telephone: (703) 305-3653

**PART 1 - ATTORNEY/APPLICANT COPY**

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/009,944	PCT/IB00/00794	HOLTR-400

FORM PCT/DQ/EO/305 (371 Formalities Notice)